



3742 #9

PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/007,367

Filing Date 10/22/2001

First Named Inventor Daniel Hoffman, et al.

Group Art Unit 3742

Examiner Name Unknown

Total Number of Pages in This Submission 4

Attorney Docket Number 4097 P01

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

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JUL 10 2003  
TECHNOLOGY CENTER R3700

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Robert M. Wallace Reg. No. 29,119

Signature

*Robert M. Wallace*

Date

07/03/2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

July 3, 2003

Typed or printed name

Shelly Hart

Signature

*Shelly Hart*

Date

07/03/2003

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on July 3, 2003 (Date of Deposit)  
07-03-03 Sherry [Signature]  
Date Name



#9  
PATENT

Attorney Docket No. 4097 P01  
RW Ref. No. APM/063-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
DANIEL HOFFMAN, ET AL. ) Group Art Unit: 3742  
Entitled: MERIE PLASMA REACTOR WITH )  
OVERHEAD RF ELECTRODE TUNED TO THE ) Examiner: Unknown  
PLASMA WITH ARCING SUPPRESSION )  
Serial No.: 10/007,367 )  
Filing Date: October 22, 2001 )

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert M. Wallace", with a long horizontal flourish extending to the right.

Dated: July 3, 2003

Robert M. Wallace  
Registration No. 29,119  
Attorney for Applicants

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Ventura, CA 93003  
(805) 644-4035



INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number: 10/007,367  
Filing Date: October 22, 2001  
First Named Inventor: Daniel HOFFMAN, et al.  
Group Art Unit: 3742  
Examiner Name: Unknown  
Attorney Docket Number: 4097 P01

U. S. PATENT DOCUMENTS

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-5,401,351	03-28-1995	SAMUKAWA	156	345	01-27-1994
	US-6,245,190 B1	06-11-2001	MASUDA ET AL.	156	345	03-26-1998
	US-6,451,703 B1	009-17-2002	LIU ET AL.	438	710	03-10-2000
	US-6,528,751 B1	03-04-2003	HOFFMAN ET AL.	219	121.43	03-17-2000

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.